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# Second call for medium-scale projects

## Water and Development Partnership Programme (DUPC 3)

*Date: 17 April 2023*

### About the Water and Development Partnership Programme

Since 2008, IHE Delft has established a Partnership Programme for water and development with DGIS, the development cooperation agency of the Dutch Ministry of Foreign Affairs. Mid-2021 the Programme entered its third phase, which will run until 2027 and has a budget of 46 million euro. The overall objective of the programme is to support and catalyze meaningful and lasting transformations to socially inclusive and ecologically sustainable water management practices by strengthening capacities in non-European, low- and middle-income countries<sup>1</sup> through joint research, education, and knowledge sharing on water. The envisioned longer-term outcomes of the programme are:

- The knowledgebase on water management is improved and enriched to support ecologically sustainable and socially inclusive practices.
- A broad range of water sector organizations have the knowledge, experiences and capacity to facilitate and fulfil their roles and responsibilities in building sustainable and inclusive water management practices.

To achieve these outcomes, the programme aims to foreground challenges related to equity and ecological sustainability in its activities and contribute to meaningful and viable solutions that overcome these challenges within three thematic areas: 1) water and health; 2) water for food; and 3) river basins and deltas. Activities that will be supported by the programme will be identified mainly through open calls for proposals within each of these themes.

Working in diverse partnerships, in genuinely inclusive ways, is an essential approach within the programme (see also Annex 1). In particular, collaborations will be stimulated between academia, government agencies, grassroots organizations, and/or private sector organizations<sup>2</sup> and between different disciplines, such as natural sciences, social sciences, and engineering. These partnerships are expected to carry out projects based on actual challenges faced and expressed by actors in the targeted non-European, low- and middle-income countries that lead to tangible outputs and meaningful impacts. These actors are expected to also be actively involved in designing and implementing the project as partner(s).

In line with the geographical focus of the Dutch Ministry of Foreign Affairs, the programme will mainly concentrate its activities in three regions, namely the Middle East, the Sahel, and the Horn of Africa (also see Annex 1). Nevertheless, organizations from and projects in other non-European, low- and middle-income countries are also supported by the programme. Special efforts will be made by the

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<sup>1</sup> According to the OECD DAC list.

<sup>2</sup> With private sector organizations we refer to 'practitioners' organizations that fulfil a specific role in managing water such as privatized water utilities or companies that deliver specialized technologies. Private consultants involved in activities typically carried out by other organizations (e.g. research, education, advocacy) are not eligible for reimbursement of their time and can only claim limited amounts of funding for out-of-pocket costs in case the added value for their participation can be made explicit.

programme to support the careers of women and other marginalized and/or underrepresented groups<sup>3</sup> in the water sector, especially those in the early stages of their careers.

To further enrich and pluralize knowledge on water and stimulate learning, the different project-based partnerships will be brought together in broader learning networks centered around the three themes. These networks will focus on joint learning by sharing approaches, experiences and (preliminary) research findings from the different projects as well as interacting with external stakeholders. In this way, the programme aims to foster 'coalitions of the willing' who work together towards achieving impacts that contribute to sustainable and equitable transformations within and among their particular field(s).

## **Aim and Focus of the Call**

This call aims to identify promising medium-scale projects that align with the philosophy, approach and focus of the Water and Development Partnership Programme. In particular, this call solicits inter- and transdisciplinary projects that engage in research, education and/or capacity-strengthening activities and proactively share knowledge and engage in advocacy efforts to support the envisioned transformations in the water sector of non-European, low- and/or middle-income countries. The anticipated budget of successful projects ranges from 100,000 to 400,000 euro for a two- to three-year period<sup>4</sup>.

This call is open for project proposals that aim to redress issues related to inequities and ecological degradation in water-related developments<sup>5</sup> in one or more of the following thematic areas:

- *Water and Health*  
This theme focuses on developing viable pathways to improve and upscale the provision of adequate, affordable, and inclusive water and sanitation services, particularly to marginalized groups and/or underprivileged areas.
- *Water for Food*  
This theme focuses on developing viable pathways to more sustainable, equitable, and climate-change-resilient irrigation practices and agro-ecosystems to support the livelihoods of actors involved in small- and medium-scale agriculture as well as to conserve biodiversity and ecosystem services.
- *River Basins and Deltas*  
This theme focuses on developing viable pathways to more secure, equitable, and ecologically sustainable governance and management of (transboundary) river basins and delta regions, including coastal areas and aquifers.

A more detailed description of the themes and the challenges that the call aims to address is presented in Annex 2. The following specific priorities have been identified for this call:

- *For all themes:* proposals that substantially focus on developing high-quality open education and training materials (see assessment criteria 2b).
- *For theme Water and Health:* proposals that address challenges related to water supply for domestic use and/or bulk water supply (for more details, see also Annex 2).

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<sup>3</sup> See Annex 1 for more details about these groups and the process of identification.

<sup>4</sup> In case of well-justified reasons, project teams may also request for a longer project duration.

<sup>5</sup> The different ways in which projects can contribute to redressing inequities and ecological degradation are described in more detail in the introduction text of Annex 2.

- *For theme River Basins and Deltas:* proposals that address challenges related to flooding and/or stimulate transformations in the governance and management of river basins and/or delta areas (for more details, see also Annex 3).

Compelling proposals that focus on one or more of these priorities will be given strong preference during the selection process in case they meet the criteria further specified in the next section.

## Assessment Criteria

Successful applications must demonstrate that they:

1. Address actual challenges faced and expressed by actors in one or more non-European, low- and/or middle-income countries and ensure the active involvement of these actors in the project activities (e.g. through action-oriented research, participatory methods, flip-the-classroom activities). This also includes showing how these actors become (co-)owners of the project deliverables (e.g. scientific publications, software, databases, curricula, education degrees, policy guidelines, protocols, and technologies) and ensuring that project outputs are freely and easily accessible for the general public<sup>6</sup>. Strong preference is given to proposals led by organizations from non-European, low- and middle-income countries.
2. Carry out one (or more) of the following activities:
  - a. Conducting and disseminating, through advocacy efforts, the findings of high-quality, impact-oriented, interdisciplinary research that explicitly contributes to redressing inequities and ecological degradation within one or more thematic areas of the programme (see Annex 2). Research projects that explicitly invest efforts in enriching and pluralizing knowledge on water beyond conventional subjects, insights, and approaches are especially welcomed as well as projects that invest in advocacy efforts (see Textbox 1 in Annex 1).
  - b. Developing high-quality open education that explicitly contributes to educating Masters and/or PhD students on issues related to social justice and ecological sustainability within one or more thematic areas of the programme (see Annex 2). Education projects that explicitly invest efforts in enriching and pluralizing current curricula on water beyond conventional subjects, insights, and approaches are especially welcomed (see Textbox 1 in Annex 1).
  - c. Innovative ways of strengthening capacities of individuals and/or organizations through developing and offering effective training programmes<sup>7</sup> that support them in carrying out their roles and responsibilities for sustainable and inclusive water management practices (see Annex 2). These capacity-strengthening efforts should explicitly target organizations, groups and/or individuals that are marginalized and/or underrepresented in the water sector (see Annex 1).
3. Collaborate within promising partnerships of diverse partners<sup>8</sup> that embrace genuinely inclusive ways of inter- and transdisciplinary engagement with an emphasis on joint learning and critical reflection (see Textbox 1) and provide opportunities,<sup>9</sup> especially for (early-career) female team members and/or representatives from (other) marginalized and/or

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<sup>6</sup> All outputs produced with funding from the programme require to be made easily and freely available to general public. Systems are in place at IHE Delft to share these outputs, which must be used. In addition, systems of partner organizations may also be used. The programme supports the principles of open science. Among others, this entails that the programme expects project teams to use open source (modelling) tools in their research to make it replicable elsewhere and share codes and (anonymised) data without restrictions.

<sup>7</sup> This may include a cluster of fellowships for students from a particular region/country to study at IHE Delft or a university in a non-European, low- and/or middle-income country to strengthen their knowledge and skills on a relevant topic and to foster collaboration through an alumni network. In this case, the MSc students need to be engaged in several joint activities throughout the duration of their studies. More information about this possibility can be obtained from the DUPC3 management team ([secretariat\\_DUPC3@un-ihe.org](mailto:secretariat_DUPC3@un-ihe.org)).

<sup>8</sup> Diversity, among others, in terms of academic disciplines, types of organizations, geographical locations, see also Annex 1. In case of involvement of IHE Delft, preference is given to projects that include IHE staff members from several academic departments.

<sup>9</sup> This may include among others education and training opportunities, possibilities for networking and/or taking up leadership roles in the project.

underrepresented groups in society (see Annex 1). This also includes demonstrating that the project team has the right expertise to carry out the proposed project activities and that budget is allocated according to the main activities of the project.

4. Have clear added value for, build further on, and/or engage with other projects, initiatives and/or networks, preferably (also) in the focus countries of the programme (see Annex 1).
5. Have a proactive, inclusive and creative communication strategy to share project experiences, findings and outputs with a broader audience, including meaningful engagements with policy-makers, water sector organizations and/or grassroots as well as active participation in, and contribution to, the relevant learning networks established by this programme. Communication efforts that particular target organizations and/or individuals in the focus countries of the programme (see Annex 1) are especially welcomed.

### Eligible costs

Eligible costs include reimbursement of time of staff members from organizations eligible for funding (see Annex 1) as well as costs for travel<sup>10</sup>, and the durables and consumables needed to carry out the project activities. Budgets can also include Postdoc positions and costs related to PhD and MSc studies<sup>11</sup> for individuals who are citizens of non-European, low- or middle-income countries. For more details on eligible costs and general budgeting criteria, see the budgeting guidelines on the programme webpage.

### Specific budget requirements

Specific budgeting requirements that must be met to be considered for funding are:

- At least 60% of the project funds requested as well as the staff time input funded by the programme should go to eligible organisations in non-European, low- and/or middle-income countries.
- At least 60% of the total time input funded by the programme should go to female team members and/or members from (other) marginalized groups in society (see Annex 1).
- At least 60% of the project funds requested should go to early-career professionals<sup>12</sup> (either to reimburse their time input in the project and/or to invest in their education).
- At least 10% of the project funds requested need to be dedicated to joint learning activities (including active participation in and contributions to the wider programme learning networks, existing of at least quarterly (online) seminars).
- At least 20% co-funding (cash or in-kind) is required for each medium-scale project.

Proposals that do not meet the criteria and requirements specified in this section will be disqualified.

## **Additional details of the Call**

This second call for proposals is launched on 17 April 2023 and will follow a two-stage process:

1. Submission of project ideas with a deadline of Monday 22 May 2023 at 12.00 CEST.
2. Submission of full proposals with a deadline of Monday 3 July 2023 at 17.00 CEST.

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<sup>10</sup> The programme implements an active greening strategy, this includes that air travel needs to be limited within the projects.

<sup>11</sup> In case of involving Ph.D. and MSc students in the projects, a clear motivation needs to be provided how this contributes to the proposed research activities as well as how it strengthens the capacities of partner organizations

<sup>12</sup> The programme defines early-career professionals as professionals with zero to five years of experience after graduation of their highest educational level. Within the context of IHE Delft lecturers and colleagues who are less than three years in a senior (lecturer) position at the date of the proposal submission would qualify as early-career professionals.

Only teams that have timely submitted complete and good-quality project ideas will be allowed to submit a full proposal. Project teams will receive written feedback from the programme management team on their project idea. This feedback will be given in the order of submission, meaning that the earlier a project idea is submitted, the earlier the project team will receive feedback. Feedback will only be given once. We strive that all project teams that submitted project ideas before the deadline indicated above, will receive feedback before Tuesday 30 May 2023 at 17.00 CEST. The feedback on the project idea will need to be considered during the full proposal writing phase. For both stages of proposal development provided templates<sup>13</sup> must be used. Incomplete project ideas and/or full proposals or project ideas or full proposals which do not follow the prescribed formats will not be considered. Proposal ideas and full proposals can be sent before each deadline to [secretariat\\_DUPC3@un-ihe.org](mailto:secretariat_DUPC3@un-ihe.org).

For this call, a maximum of 4 million euro is reserved<sup>14</sup> and we aim to fund 3 to 4 medium-scale projects within each thematic area, depending on the quality and focus of the submitted proposals.

Who can apply? A consortium of about three to six eligible organizations<sup>15</sup> from non-European, low- and middle-income countries<sup>16</sup> and/or IHE Delft (see also Annex 1). Strong preference is given to projects led by organizations from non-European, low- and middle-income countries. Priority is also given to projects led by women and/or representatives of (other) marginalized and/or underrepresented groups in the water sector. Partners and activities do not need to be based in the focus countries of the programme, yet will need to demonstrate the added value of their project for these regions and/or countries (see assessment criteria 4).

The call is implemented by the executive committee of the Water and Development Partnership Programme<sup>17</sup>. Before being sent out to independent external reviewers, proposals and budgets will initially be screened to see whether they meet the basic criteria and focus of this call as stipulated above. In case a proposal does not meet the set criteria or align with the focus of the call, it will be disqualified. Based on external reviews and deliberations in the committee, this committee will rank the proposals based on their relevance for and alignment with the philosophy, focus and approach of the programme and how the proposals provide added value to the existing project portfolio of the programme (see also specific priorities defined for this call). The committee will ask for the endorsement of the IHE Rectorate on the projects that will be approved<sup>18</sup>. The committee has the freedom to allocate more or less funding for this call depending on the quality of the submitted proposals and in light of the strategic directions of the programme.

## After the Review Process

If a project proposal is (conditionally) approved, the team will establish a consortium agreement to formalize the relations between the partner organizations. Once this agreement is in place, project

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<sup>13</sup> Format for the project idea is available on the programme webpage. Formats for full proposals will be shared with applicants together with the feedback on the proposal ideas.

<sup>14</sup> In case not sufficient proposals are submitted that fulfil the expected quality, criteria and/or objectives of this call - or in case proposals are not distributed among the different themes and/or geographical regions - budget reserved for this call might be allocated to future calls.

<sup>15</sup> This can include organizations with whom IHE collaborated before as well as new organizations.

<sup>16</sup> According to OECD DAC country list. International organizations and their regional or local subsidiaries, daughter company and/or closely affiliated organizations (even if these organizations are registered as independent organizations in a non-European, low- or middle-income country) and organizations based in, and individuals originating from, high-income countries can only participate in the activities if their added-value is sufficiently justified. Reimbursement of their time will not be possible and other expenditures can only be included in the budget to a limited extent (see also budgeting guidelines available on programme webpage and our Frequently Asked Questions).

<sup>17</sup> For the composition of the committee, see the programme webpage.

<sup>18</sup> If an applicant is of the opinion that there has been a shortcoming in the way a proposal has been evaluated, an appeal could be made within one month after the notice of rejection. An appeal – including the motivation for the appeal and support documents, if any - should be sent to the Rector of IHE via [Secretariat.Rectorate@un-ihe.org](mailto:Secretariat.Rectorate@un-ihe.org). The outcome of the appeal will be communicated via email to the applicant.

teams can start up the actual project activities. In case project teams have been given conditional approval of the proposal, the programme committee will first assess the changes made in the proposal based on the comments received during the review process.

Towards the end of the project duration, top-up funding will be available for project teams that are specifically successful in making a meaningful impact. This may for instance include project teams who have a proof of concept of approaches or technologies that they aim to further demonstrate and explore the marketability of, support outreach activities – including policy engagement – to strengthen the uptake of research findings, or co-funding for project teams that have secured funding elsewhere to sustain the activities and collaborations.

## Timeline

Step	Activity	Expected dates
Step 1	Launch of the call	17 April 2023
Step 2	Information session	25 April at 13.00 CEST
Step 3	Submission of project ideas (according to template)	22 May 2023 at 12.00 CEST
Step 4	Feedback on project idea	30 May 2023
Step 5	Submission of full proposal (according to template)	3 July 2023 at 12.00 CEST
Step 6	Approval of proposals	Mid-September 2023
Step 7	Start-up of project implementation	October 2023

## Questions and Information

For more information on the programme as well as links to download formats and guidelines for proposal development (under the section 'Programme guidelines and templates'), please visit the programme webpage: <https://www.un-ihe.org/dupc3-ihe-delft-partnership-programme-water-and-development>.

For questions and inquiries, you can consult the Frequently Asked Questions (FAQ) section on the above-mentioned webpage.

If you have any further questions, please contact the management team of the programme via: [secretariat\\_DUPC3@un-ihe.org](mailto:secretariat_DUPC3@un-ihe.org).

## Privacy Statement

By submitting your proposal in this call for large-scale projects under the Water and Development Partnership Programme you have taken note of the following privacy statement:

The personal details you shared through project proposal templates and/or other means will be used when needed by IHE Delft, for (communication related to) the selection process, the implementation of the awarded proposal(s), reporting, end-evaluation and future calls and opportunities offered by the programme. The retention period is till the end of the programme. IHE Delft will process your personal details in accordance with the EU General Data Protection Regulation of 25 May 2018. For more information, we refer you to the privacy statement of IHE Delft: <https://www.un-ihe.org/privacy-statement>.

Without your prior consent or other legal bases, no information will be shared with persons, companies, or organisations outside the context of this call. For further questions please contact our Data Protection Officer at [dpo@un-ihe.org](mailto:dpo@un-ihe.org).



## Annex 1. Focus regions and desired partner organizations

(Adjusted from: [Water and Development Partnership Programme document](#)<sup>19</sup>)

### Focus regions and eligible and preferred organizations

To strengthen the water sector in non-European, low- and middle-income countries, the Water and Development Partnership Programme mainly aims to engage a wide range of organizations involved in managing water in its broadest sense in these countries. These organizations may include organizations such as water utilities, river basin organizations, irrigation boards, water-dependent industries, relevant government agencies, NGOs and CSOs representing grassroots initiatives, and universities working on water-related topics<sup>20</sup>.

Geographically the Programme will focus mainly on water organizations in regions that are prioritized by the Dutch Ministry of Foreign Affairs, namely:

- The Middle East (*priority countries*: Egypt, Iraq, Jordan, Palestine, Yemen)
- The Sahel (*priority countries*: Burkina Faso, Mali, Niger, Nigeria)
- The Horn of Africa (*priority countries*: Ethiopia, Kenya, Somali, South Sudan, Sudan, Uganda)

As such, the majority of the partners involved in the programme activities are expected to come from water sector organizations in countries from these regions. Water sector organizations from other non-European, low- and middle-income countries can also participate in the activities in case they can contribute to and/or benefit from such participation. Organizations can be partners with whom IHE Delft has worked before, yet the programme also welcomes new organizations, especially when they contribute to diversifying the collaborations. Some calls for proposals are also open for consortia and activities outside the focus regions. In these cases, project teams will still need to demonstrate how they will actively share relevant project outputs with organizations within the focus regions and/or countries.

International organizations – including their locally or regionally registered subsidiaries – and partners and/or individuals from high-income countries other than IHE Delft can only participate in the projects in case their added value can be made explicit and they will not be eligible for funding from the programme. By limiting the involvement of such organizations, the programme aims to directly redress geographical inequities in knowledge production and ownership and create space to stimulate efforts to enrich, pluralize and decolonize knowledge on water. Moreover, it allows to provide specific opportunities for education offered by, and capacity-strengthening of, organizations and individuals from non-European, low- and/or middle-income countries.

By focusing on inter- and transdisciplinary projects, the programme stimulates inclusive collaborations between academia, government agencies, grassroots organizations, and/or private sector organizations and between different disciplines, such as natural sciences, social sciences, and engineering. Textbox 1 provides an overview of principles which the programme embraces for nurturing inclusive collaboration. Particular efforts will be made to engage organizations and disciplines that have been less involved in mainstream collaborative projects on water thus far. For instance, by actively reaching out to, and supporting projects that include, various kinds of social scientists working on water (e.g. anthropologists, human geographers, political scientists, sociologists, historians, public administration, economists, lawyers) and/or non-academic organizations such as NGOs, CSOs, and private sector organizations actively involved in managing water.

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<sup>19</sup> [https://www.un-ihe.org/sites/default/files/full\\_proposal\\_dupc3\\_-\\_final\\_updated\\_cover\\_20212202\\_0.pdf](https://www.un-ihe.org/sites/default/files/full_proposal_dupc3_-_final_updated_cover_20212202_0.pdf).

<sup>20</sup> For more specific information on eligibility criteria for funding of different organizations and individuals, please check the budgeting guidelines as well as the Frequently Asked Questions (FAQ) on our webpages.

### Preferred individuals and marginalized groups

At the individual level, the programme will encourage especially the involvement of early-career staff members of the partner organizations to participate in – and benefit from – the activities of the programme and associated projects. In particular, the programme will focus on providing opportunities to (early-career) female staff members to redress gender imbalances in the water sector that are still prevalent. By supporting their careers the programme aims to contribute in the longer term to less masculine and more inclusive working cultures within water sector organizations. Moreover, supporting women to be prominently involved in and/or lead the research and education within the programme may contribute to invigorating new approaches, insights, and solutions needed to achieve the envisioned transformations and/or that might be particularly focused on addressing the challenges that women face concerning water. In addition, the programme will encourage the participation of well-qualified individuals from (other) marginalized groups in the non-European, low- and middle-income countries to participate in and benefit from the programme's activities. These may include people who are marginalized or discriminated against in society and/or underrepresented in the water sector based on (the intersection of) their race, ethnicity, religion, class, caste, sexual orientation, gender identity, differently abledness, and/or other forms of social differentiation. Also, their involvement is aimed at redressing structural inequities in society through affirmative action as well as with the hope that this will contribute to developing knowledge on water that is particularly relevant for addressing their specific needs. Moreover, special attention will be given to including and protecting researchers-at-risk, who are scientists who as a result of political marginalization in their home countries, are constrained from carrying out independent, critical research on controversial water-related topics.

Which groups are considered marginalized, underrepresented and/or at risk differ per society and per situation. For that reason, project teams will need to define in their project proposal who they identify as such within the context of their proposed project. In addition, project teams will be asked (in the budget template) which members of their team belong to (one of the) identified groups, in order to monitor how the project provides specific opportunities for these groups. Applicants need to ensure that personal information about individual team members is shared only on a voluntary basis. In case it is sensitive for socio-political reasons to identify which individual team members belong to marginalized groups, the applicant or the concerned individual(s) can contact the Communication Advisor of the programme, Mrs. Bhavna Bhasin ([b.bhasin@un-ihe.org](mailto:b.bhasin@un-ihe.org)), to further discuss this matter. The Communication Advisor can then advise how to account for this in the proposal, budget allocation and project reporting. Please note that choosing not to share personal details, will mean that these team members are considered not to belong to marginalized and/or underrepresented groups.

Textbox 1. Guiding principles for collaboration within the Water and Development Partnership Programme.

To increase the chances of achieving the envisioned impacts and contribute to wider transformations toward socially inclusive and ecological sustainable futures, the programme encourages empathy and reflexivity within partnerships to create inclusive and conducive working cultures and stimulate joint learning. Therefore, the programme has defined the following guiding principles for collaboration:

- Partnerships have a broad problem-orientation and aspire to help solve problems as experienced by those with whom they collaborate or engage.
- Partnerships adopt a practice-based approach, implying that their research and activities are empirically anchored in the actions and doings of water actors.
- Partnerships actively encourage and nurture diversity by creating safe spaces for collaboration based on mutual trust and respect and by appreciating different ways of knowing – e.g. defining, interpreting, assessing, valuing and evaluating – water.
- Partnerships adopt a transdisciplinary process by actively engaging non-academic actors and in this way contribute to pluralizing water sciences by engaging with different water wisdoms, experiences and perspectives.
- Partnerships actively embrace inter- and multidisciplinary processes, methods and approaches, particularly encouraging collaborations and cross-fertilizations between social sciences, natural sciences and engineering.
- Partnerships encourage active involvement of scholars from non-European, low- and middle-income countries and give space to their ideas, perspectives and approaches as well as stimulate their ownership of project outputs to actively contribute to the decolonization of (water) science.
- Partnerships remain critical, which means that they explicitly interrogate existing societal orders and processes of change or development in terms of their equity, sustainability, inclusivity and peacefulness.

## Annex 2. Thematic areas<sup>21</sup>

(source: [Water and Development Partnership Programme document](#)<sup>22</sup>)

A distinctive feature of the Water and Development Partnership Programme, and in line with the programme's ambition to contribute to transformations to socially just and sustainable futures, is the strong emphasis on equity and ecological sustainability in water-related interventions. Foregrounding these two issues entails that the programme activities ultimately aim to solve the water-related challenges of (historically) marginalized groups in society and contribute to restoring degraded ecosystems on which these groups often depend for their livelihood. This can be through actions that directly benefit such groups and/or ecosystems or through water-related activities that aid society as a whole. It can also include activities that limit the water-related harm (e.g. over-abstraction, pollution) done by other parties or giving support to governments of non-European, low- and/or middle-income countries to strengthen their position to address water-related matters that play at larger spatial scales (e.g. transboundary, international). However, what it in all these cases entails is that the programme will carefully scrutinize the implications of its activities and not support actions that lead to (knowable) processes of (further) marginalization or unsustainable use of water in any form. As such, the two central elements - equity and ecological sustainability - will guide the programme activities within three thematic areas, namely water and health, water for food, and river basins and deltas.

In line with the programme's ambition to contribute to meaningful and lasting impacts, within each theme, the focus will be on developing new ideas or understandings that contribute to viable pathways towards the envisioned transformations. For this reason, in each theme emphasis will be given to

<sup>21</sup> Full references to cite literature can be obtained from the programme document.

<sup>22</sup> [https://www.un-ihe.org/sites/default/files/full\\_proposal\\_dupc3\\_-\\_final\\_updated\\_cover\\_20212202\\_0.pdf](https://www.un-ihe.org/sites/default/files/full_proposal_dupc3_-_final_updated_cover_20212202_0.pdf).

research that leads to integrated approaches and solutions that are considered appropriate and affordable in the local context. Moreover, those promising approaches and solutions need to be scalable to make wider uptake viable and resilient to future (climate) changes.

## Water and health

*Important note: to complement the existing project portfolio, the programme will give priority to proposals within this theme that engage with one or more of the following topics:*

- *Pathways towards safe, reliable and inclusive piped water supply to marginalized groups and/or underdeveloped areas (e.g. slums, rural areas).*
- *Asset management of water utilities with a specific focus on pro-poor and climate-resilient water service provision.*
- *Inclusive and ecologically sustainable approaches and technologies to secure bulk water supply in water-scarce regions.*

Despite efforts of governments, water utilities and NGOs during the past decades, large parts of the world's population still lack access to sufficient water of good quality and have no, or very limited, access to sanitation services. This still causes millions of deaths annually of which the majority are children below the age of five years. Fast processes of urbanization pose further challenges as more and more people live in rapidly expanding urban and peri-urban areas in low-income countries where water supply networks are precarious, sewerage is often non-existent and spaces for toilets and safe disposal of waste are difficult to find. At the same time, in depopulated rural areas provision of reliable and affordable water and sanitation services becomes even more challenging in the absence of an 'economy of scale'. Moreover, poor drainage and improvised water storage both in urban and rural areas increase rates of water-borne diseases, while insufficient and intermitted water supply and inadequate sanitation can increase the spreading of other contagious diseases, such as COVID-19, as people cannot adhere to basic hygiene measures<sup>23</sup>. In addition, the COVID-19 pandemic has emphasized again that human-livestock interactions increase the chance for zoonotic diseases like COVID-19, which puts especially densely populated (peri-urban) slum areas at risk as water sources are often shared<sup>24</sup>. At the same time, in rural areas, geogenic sources of pollution (e.g. fluoride and arsenic contaminants) cause regularly health problems for people relying on groundwater sources in the absence of adequate treatment facilities<sup>25</sup>. Despite the overwhelming evidence for the urgent need for adequate water and sanitation services, many governments in low- and middle-income countries have been urged to reduce public expenditures as part of neoliberal reforms, which has negatively affected the development and maintenance of water infrastructure, especially in marginalized areas<sup>26</sup>. On top of that, climate change causes prolonged droughts in various regions, and results in people facing regular water shortages, even for domestic purposes. This creates anxiety and tension in society, particularly because of pessimistic future scenarios<sup>27</sup>. For instance, the still ongoing humanitarian crisis in the Middle East creates specific challenges of providing water and sanitation services in highly complex emergency situations to refugees, yet also causes anxiety among the citizens of the countries that take up refugees on how this might affect their access to already scarce water<sup>28</sup>. These multiple and often interlinked issues cause many countries huge inequities in terms of health risks that people

<sup>23</sup> See among others Ekumah et al. (2020) and WHO (2020).

<sup>24</sup> See among others Mazet (2009) and Alemayehu et al. (2021). These and other scholars call for an 'One Health' approach, which is an inter- and transdisciplinary approach that aims to achieve optimal health outcomes by recognizing the interconnection between people, animals, plants and their shared environment.

<sup>25</sup> See among others Demelash et al. (2019) and Bretzler et al. (2019).

<sup>26</sup> See among others Ndikumana and Pickbourn (2017) and Galvin (2014).

<sup>27</sup> See among others IPCC (2019b).

<sup>28</sup> See among others Jaafar et al. (2019; Hussein (2020) and Baylouny and Klingseis (2018).

are exposed to, with especially already marginalized groups being most affected, including (female) slum dwellers, refugees, and underprivileged households.

To counter these developments, this theme will focus on developing pathways to improve and scale up the provision of adequate, affordable and inclusive water and sanitation services, particularly to marginalized groups and/or areas. Emphasis will be given to viable integrated approaches, nature-based solutions and/or inventive (digital) technologies that facilitate sustainable, reliable and safe provision of water and sanitation considering the specific institutional settings in the targeted areas. Where relevant, ‘life-cycle’<sup>29</sup> approaches may be used to critically assess the implications of promising solutions for society and the environment. In the calls for proposals under this theme, the programme will – among others – welcome projects that focus on advancing and supporting the implementation of nature-based solutions for water and wastewater treatment and explorer – and critically assess – the possibilities of stimulating circular economies of associated natural resources<sup>30</sup>. Also, project initiatives that will further explore the possibilities for desalination technologies and how this technology can become a viable and environmentally sustainable solution for supplying water to low-income households in water-scarce regions will fit under this theme. Other possible topics associated with this theme include the (further) development and implementation of smart approaches and (digital) technologies for inclusive, reliable supply of safe water and sanitation – in particular in complex environments such as slums and/or refugee camps – as well as developing and testing of approaches and measures to reduce pollution from human and animal excrement in densely populated areas. These themes may also include projects that will explore how water can serve as a low-cost proxy for the spreading of COVID-19 and other (new) contagious diseases in low-income countries to inform remedial actions.

To support the transformations to more sustainable and inclusive provisions of water and sanitation services, the programme anticipates as part of this theme to also invest in identifying and studying innovative, realistic and progressive instruments for financing these innovations considering various service provision modalities (e.g. public/private, centralized/decentralized, on-site/off-site) and being inspired by the notion of circular economies. Also, project proposals that focus on designing effective and integrated strategies for the development, operation and maintenance of water infrastructure, including exploring required legal and institutional arrangements and identifying implementation protocols (e.g. safety plans for water and sanitation) and/or engaging with and critically reflecting on hygiene initiatives are welcome. In particular, efforts will be made to support projects that stimulate effective knowledge exchange and collaboration between water service providers in low- and/or middle-income countries, for instance among water utilities (e.g. DUC2 BEWOP – water operator partnerships) and among organizations involved in water supply and sanitation in humanitarian settings. These collaborations aim to encourage joint learning – especially between organizations in the focus regions – and to strengthen the coordination among and the resilience of these organizations where needed.

## **Water for food**

Farmers engaged in small- and medium-scale agriculture<sup>31</sup> in low- and/or middle-income countries are producing the largest part of food needed to feed the increasing population in the world. Moreover, these forms of agriculture provide vital income to millions of households<sup>32</sup>, particularly in often

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<sup>29</sup> Life-cycle approaches can be used to better insight in the impacts of a product – for instance a technology or a piece of infrastructure – during its entire life cycle to assess the various impacts and trade-offs of that product on a variety of environmental and social aspects, such as the quality of water, water consumption, livelihoods, public health, pollution emissions, and raw materials (Peña et al., 2020).

<sup>30</sup> See among others Voulvoulis (2018); Masi et al. (2018) and Malik et al. (2015).

<sup>31</sup> The programme recognizes that the size of the farms and their produce – and thus what qualifies as small- and/or medium-scale – might differ per country and per agricultural activity. Nevertheless, the programme finds it important to use these categories to distinguish these farms from large-scale agribusinesses.

<sup>32</sup> See among others Samberg et al. (2016) and Lowder et al. (2015).

marginalized rural areas and including large numbers of female-headed households. Past policy interventions have encouraged many of these farmers to start cultivating commercial crops for (inter)national markets, often shifting to monoculture. In many places, these changes went hand in hand with overexploitation of water sources, land tenure insecurity, increased use of fertilizers and exposure to market volatility<sup>33</sup>. In other parts of the world, smallholder farmers<sup>34</sup> particularly have been largely neglected and/or land and water resources have been accumulated in the hands of a few larger (foreign-owned or state-supported) agribusinesses<sup>35</sup>. In both situations, it causes insecurity for farming households and often leads to further degradation of biodiversity and vital ecosystems – such as wetlands and forests – through increased pollution from fertilizers and pesticides and/or encroachment on nature<sup>36</sup> in search of land and water in attempts to secure income from agriculture. This is despite the fact that these ecosystems are often crucial sources to complement the livelihoods of food-insecure households<sup>37</sup>. Also, climate change poses further challenges for many farmers engaged in small- and medium-scale agriculture because they often lack access to adequate infrastructure and technologies for storing and controlling flows of water<sup>38</sup>. This limits their possibilities to respond to prolonged droughts and irregular precipitation patterns and may lead to salinity of their soils, affecting crop yields and/or reliable supply of water for their cattle. As a consequence of all these uncertainties, especially smallholder farmers are extremely vulnerable to other disturbances, like the COVID-19 pandemic that led – among others – to the closure of the large majority of farmers' markets worldwide<sup>39</sup>.

Having learned from the negative impacts of unsustainable agricultural practices on society and ecosystems<sup>40</sup>, this theme will focus on identifying, testing and implementing pathways to more sustainable and climate-change-resilient irrigation practices and agro-ecosystem for growing crops, herding livestock and conserving biodiversity and ecosystem services. In the calls for proposals under this theme, the programme will – among others – welcome projects that aim to develop nature-based solutions to climate-proof smallholder agriculture (e.g. through sand storage dams, recharge of aquifers, rainwater harvesting) and further explore the possibilities for reuse of (nutrient-rich or saline) water for agriculture and critically assess how this affects human health<sup>41</sup> and receiving aquifers and ecosystems. The programme will also encourage project initiatives that study and stimulate regenerative – including re-appreciation of traditional<sup>42</sup> – agriculture practices to increase water storage in the root zones and improve soil fertility and therewith improve crop yields in the longer term and restore ecosystems. Moreover, the programme has the ambition to further develop, test, and make available (remote-sensed) tools and technologies that will help small- and medium-scale agribusinesses to monitor and increase their on-farm water productivity (e.g. DUPC2 WaterPip, drip irrigation). The programme would like to explore how remote sensed technologies can be used as progressive tools to monitor and interrogate water use and water pollution by larger-scale agribusinesses as part of efforts to achieve fair and/or sustainable water management practices. Under this theme, the programme will also encourage partners to study and critically assess possible trade-offs and synergies between water for agriculture and low-carbon energy production (e.g. decentralized

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<sup>33</sup> See among others Jongerden et al. (2019) and Mosely et al. (2014).

<sup>34</sup> The programme uses the smallholder farmers to refer to actors involved in small-scale agriculture, which may include farmers who own small pieces of land for growing crops, herding livestock and/or aquaculture mainly for their own subsistence, but also might include small-scale agribusiness that supply their produce to markets, especially in years of surplus. It also may include pastoralists, tenants or agricultural labourers who do not own land themselves but are involved the above-mentioned activities or people who rely for the livelihood primarily on other goods produced by ecosystems.

<sup>35</sup> See among others farmlandgrab.org and Chandran (2021).

<sup>36</sup> See among others Tickner et al. (2020) and IPBES (2018).

<sup>37</sup> See among others Rebelo et al. (2010).

<sup>38</sup> See among others Kotir (2011) and Kemerink et al. (2016).

<sup>39</sup> See among others Nchanji et al. (2021) and Ceballos et al. (2020). See also <https://flows.hypotheses.org/5557>.

<sup>40</sup> See also <https://viceversaonline.nl/2021/02/23/bijt-niet-in-het-land-dat-ie-voedt/>.

<sup>41</sup> For instance, assessing the increase of antibiotic resistance as result of wastewater reuse.

<sup>42</sup> See among others Singh and Singh (2017).

low-head hydropower, biofuels, resource recovery, solar panels) in an attempt to secure both food production and energy supply to farming households.

To support the envisioned transformations in agriculture, the programme anticipates as part of this theme to also invest in identifying and studying innovative, progressive instruments for financing in order to make sustainable farming practices accessible and affordable for (collectives of) farmers engaged in small- to medium-scale agriculture. This may include studies on the relevance and applicability of including these types of farms in circular economies. Moreover, the programme welcomes projects that engage with farmer-led processes that stimulate inventive ways of establishing sustainable irrigation practices at a grassroots level. The research done under this theme may also engage with developing viable integrated and inclusive implementation strategies, including identifying required institutional arrangements and policy interventions to support, protect and improve the water management of small- and medium-scale agriculture, particularly in the context of ongoing land and water grabs and globalized food chains. In this way, the programme aims to achieve meaningful and lasting impacts for inclusive and sustainable agricultural practices.

### River basins and deltas

*Important note: to complement the existing project portfolio, the programme will give priority to proposals within this theme that engage with one or more of the following topics:*

- *Inclusive and ecological sustainable approaches and/or (nature-based) interventions to predict and/or reduce flood risks especially for marginalized groups in society.*
- *Pathways towards socially just and ecologically sustainable ways of governing and managing river basins and/or delta areas including studying the hydro-socio interactions and exploring possible synergies between different flows of water<sup>43</sup> in the study area.*

In river basins, flows of water from different sources and qualities come together and are abstracted, used, and returned for different purposes, such as for domestic use, agriculture, industries, and hydropower. It is at this level that synergies can be explored and strategic choices can be made to foster ecologically sustainable and equitable practices of managing water and to ensure inclusive governance processes. Yet it is also where difficult trade-offs need to be negotiated, where historical uneven development has materialized<sup>44</sup>, and where regular conflicts over water are imminent<sup>45</sup>. As a result of climate change, governments need to be prepared to deal with climate extremes, which increasingly require both infrastructure against flooding as well as infrastructure for water storage to cope with prolonged droughts<sup>46</sup>. Particularly in fast urbanizing deltas, the pressures are felt to provide water, food, and electricity to the growing population, yet also protect them against flooding due to sea-level rise, land subsidence<sup>47</sup>, and/or coastal erosion<sup>48</sup>. In most river basins over-abstraction and/or widespread water pollution by industries, settlements, and agriculture affects the goods and services of aquatic ecosystems, including water retention and purification and the absorption of greenhouse gases. In coastal areas, salinization of water regularly further deteriorates the quality of water and threatens the health of aquatic ecosystems, especially in places where aquifers are overexploited. Many low- and middle-income countries have little data available on the status of their water

<sup>43</sup> With this the Programme refers to flows of water for different purposes (e.g. for urban development, industries, hydropower, agriculture) as well as flows of different types of water (e.g. surface run-off, shallow aquifers, deep groundwater, wastewater flows, sewer flows, river flows).

<sup>44</sup> See among others Swatuk (2008); Budds (2013); Budds and Sultana (2013).

<sup>45</sup> See among others De Stefano et al. (2017).

<sup>46</sup> See among others Güneralp et al. (2015); Brida et al. (2013) and Ward et al. (2020).

<sup>47</sup> See among others Bucx et al. (2015).

<sup>48</sup> See among others Hzami et al. (2021).

resources and insufficient capacity to monitor withdrawals and water quality parameters, which makes it difficult to manage water<sup>49</sup>. The invisibility of groundwater makes it notoriously difficult to monitor and regulate its abstractions<sup>50</sup>. In places where water sources are shared between different countries, additional challenges are often encountered to align policies, approaches, and interests, sometimes resulting in disputes over water<sup>51</sup>.

To respond to these multifaceted challenges, this theme will engage with identifying, testing, and implementing pathways to more secure, equitable, and ecologically sustainable governance and management of river basins and delta regions, including coastal areas. In the calls for proposals under this theme, the programme will – among others – welcome projects that study the hydro-socio interactions, trade-offs, and possible synergies between different flows of water in a river basin<sup>52</sup> with a specific focus on securing water *also* for domestic use, subsistence farming and ecosystems. This may also include collaborations with and/or measures for stimulating private sector organizations (e.g. industries, mining companies, agribusinesses) to increase their water efficiency, reduce their pollution of water sources, and/or avoid degradation and destruction of natural ecosystems such as wetlands. Moreover, the programme aims to invest in smart (remote sensed) ways of assessing, monitoring, and forecasting the quantity and quality of water sources – particularly in data-scarce regions (e.g. DUPC2 WA+) – and explore how these technologies and approaches can be used as progressive tools to redress injustices in and/or unsustainable uses of water. This theme will also support the further development and testing of smart ways of monitoring and assessing groundwater levels and the water quality of aquifers in collaboration with IGRAC (see Textbox 7), including interactions with other water bodies and in particular, identifying ways to reduce saltwater intrusion. As part of this theme, the programme also aims to focus on protecting people and infrastructure against climate extremes such as floods and droughts by investigating (mainly<sup>53</sup>) nature-based solutions for storage and/or drainage of water, particularly in marginalized parts of urban environments, as well as smart technologies for forecasting such events and informed risk management. Moreover, the programme will encourage partners to initiate projects that study the possibilities for restoration of aquatic ecosystems – in particular, based on promising grassroots initiatives for sharing and caring for water sources – to protect biodiversity as well as safeguard the goods and services that these ecosystems provide.

As part of this theme, the programme aims to make efforts to further develop the knowledgebase on root causes of different kinds of water conflicts in domestic as well as in transboundary basins and identify opportunities and approaches for managing these conflicts through collaborative action. In this, the programme would like to give specific attention to water quality issues, conflicts arising from competing demands for water for food and the production of hydropower and disputes on groundwater management. Based on initiatives started under the previous phase of the programme, this phase will encourage partner organizations to develop, test and disseminate effective tools for water diplomacy. In particular, the programme will encourage diversity in the approaches for water conflict resolution for instance by engaging with existing promising approaches in target regions and developing methods for inclusive management of water conflicts. Moreover, the programme aims to invest in developing integrated and viable (adaptive) planning and implementation strategies for sustainable and equitable management and governance of river basins and delta areas, to achieve meaningful and lasting impacts. In this, the programme would like to focus on challenges, trade-offs,

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<sup>49</sup> See among others Walker et al. (2016); Tourian et al. (2013) and World Bank (2018).

<sup>50</sup> See among others Molle and Closas (2020) and Taylor et al. (2009)

<sup>51</sup> See among others De Stefano et al. (2017) and Zeitoun et al. (2014).

<sup>52</sup> With this the programme refers to flows of water for different purposes (e.g. for urban development, industries, hydropower, agriculture) as well as flows of different types of water (e.g. surface run-off, shallow aquifers, deep groundwater, wastewater flows, sewer flows, river flows).

<sup>53</sup> Where these nature-based solutions are not feasible or not adequate, research and education on conventional infrastructures can also be considered within projects as long as the implications for ecosystems are made explicit and addressed as much as possible with nature-friendly adjustments to the infrastructure.



and contingencies that come with implementing such complex, multifaceted strategies and work towards developing multi-policy approaches.